



10/12/01

## CERTIFICATE OF EXPRESS MAILING

This transmittal and the documents and/or fees itemized hereon and attached hereto have been deposited as "Express Mail Post Office to Addressee" in accordance with 37 C.F.R. §1.10 with Express Mail Mailing Label Number EL831641791US

10-15-01

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No.: 60021.376002

First Named Inventor: SLOAN, Ronald E.

jc921 U.S. PTO  
09/976443  
10/12/01

**UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR. § 1.53(b))**  
(Continuation, Divisional or Continuation-in-part application)

Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

☐ Duplicate for  
fee processing

Sir: This is a request for filing a patent application under 37 CFR. § 1.53(b) in the name of inventors:  
**Ronald E. Sloan and Stephen B. Slutsky**

For: **AUTOMATED COACHING FOR A FINANCIAL MODELING AND COUNSELING SYSTEM**

This application is a ☒ Continuation ☐ Divisional ☐ Continuation-in-part

of prior Application Nos.: **09/705,255**, filed **November 1, 2000**; **09/580,352** filed on **May 25, 2000**; and **09/431,417** filed on **November 1, 1999** from which priority under 35 U.S.C. § 120 is claimed.

Application Elements:

☒ **52** Pages of Specification, Claims and Abstract

☒ **16** Sheets of Drawings

☒ **02** Declaration

☐ Newly executed (original or copy)

☒ Copy from a prior application (37 CFR 1.63(d) for a continuation or divisional).

The entire disclosure of the prior application from which a copy of the declaration is herein supplied is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

☐ Deletion of inventors Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

Accompanying Application Parts:

☒ Return Receipt Postcard

☒ Other: Preliminary Amendment

6/16/02  
PH  
1 1/2 /A

Amendments

☒ Amend the specification by inserting before the first line the sentence: "This is a

☒ Continuation ☐ Continuation-in-part ☐ Divisional  
application of copending prior

☒ Application No. 09/705,255, filed on November 1, 2000; 09/580,352 filed on  
May 25, 2000; and 09/431,417 filed on November 1, 1999.

☐ International Application \_\_\_\_\_ filed on \_\_\_\_\_ which  
designated the United States,  
the disclosure of which is incorporated herein by reference."

Fee Calculation (37 CFR § 1.16)

	(Col. 1)	(Col. 2)	SMALL ENTITY	OR	LARGE ENTITY
	NO. FILED	NO. EXTRA	RATE		RATE FEE
BASIC FEE			\$370	\$	OR \$740 \$740.00
TOTAL CLAIMS	24	-20 = 03	x09 =	\$	OR x18 = \$ 72.00
INDEP CLAIMS	03	-03 = 00	x39 =	\$	OR x78 = \$
[ ] Multiple Dependent Claim Presented			\$130 =	\$	OR \$260 = \$
* If the difference in Col. 1 is less than zero, enter "0" in Col. 2.			Total	\$	OR Total \$812.00

☐ Check No. 126753 in the amount of \$812.00 is enclosed.

☒ The Commissioner is authorized to charge any fees which may be required, or to credit any overpayment,  
to Deposit Account No. 02-3964 (Order No. 60021.376002).

General Authorization for Petition for Extension of Time (37 CFR §1.136)

☒ Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed  
for any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR  
§1.17 as may be needed to Deposit Account No. 02-3964 (Order No. 60021.376002).

☒ Please send correspondence to the following address:

**OPPENHEIMER WOLFF & DONNELLY, LLP**

1400 Page Mill Road  
Palo Alto, California 94304  
(650) 320-4000 telephone  
(650) 320-4100 facsimile

Date: October 12, 2001

Rambod Nader  
Registration No. **47,262**